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Docket No. HRT-287

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: John H. Stevens

Serial No. : 10/047,481

Art Unit: 3738

Filed

: October 23, 2001

Examiner: D. J. Isabella

For

: ENDOVASCULAR AORTIC VALVE REPLACEMENT

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, Washington, D.C. 20231 on

May 15, 2003
(Date of Deposit)

Brian S. Tomko
(Name of applicant, assignee, or Registered Representative)

May 23 2003

(Signature)

May 15, 2003

(Date of Signature)

Commissioner for Patents Washington, D.C. 20231

FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This copy is supplemental to the Information Disclosure Statement mailed on May 23, $200\overset{\prime}{2}$.

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this

information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

In accordance with §1.97(b), since this Information

Disclosure Statement is being filed either within three months
of the filing date of the above-identified national application
(other than a continued prosecution application under
§1.53(d)), within three months of the date of entry into the
national stage of the above identified application as set forth
in §1.491, or before the mailing date of a first Office Action
on the merits of the above-identified application, or before
the mailing date of a first Office Action after the filing of a
request for continued examination under §1.114, no additional
fee is required.
In accordance with §1.129(a), this Information
Disclosure Statement is being filed in connection with \square the
first or Second After Final Submission, therefore:
<pre>Statement in Accordance with §1.97(e)</pre>
(attached); or
☐ Please charge Deposit Account No. 10-
0750/ / the fee of $$180.00$ as set forth
in §1.17(p).

In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or an action that otherwise closes prosecution and that it is accompanied by one of:

	Statement in Accordance with §1.97(e)
	(attached); or
	Please charge Deposit Account No. 10-
	0750/ / the fee of \$180.00 as set forth
	in §1.17(p).
☐ In ac	cordance with §1.97(d), this Information
Disclosure Stat	ement is being filed after the mailing date of
either a Final	Action under §1.113 or a Notice of Allowance
under §1.311 b	ut before the payment of the Issue Fee.
Applicant(s) he	reby petition(s) for consideration of this
Information Dis	closure Statement. Included are: Statement in
Accordance with	§1.97(e) as set forth below and the fee of
\$180.00 as set	forth in §1.17(p).
⊠ Copie	es of each of the references listed on the
	TO-1449 are enclosed herewith.
attached Form P	10-1449 are encrosed herewith.
☐ Copie	es of references listed on the attached Form PTO
1449 are enclos	ed herewith EXCEPT THAT:
	In view of the voluminous nature of references
	[list as appropriate], and the likelihood that
	these references are available to the Examiner,
	copies are not enclosed herewith.
	If any of the foregoing publications are not
	available to the Examiner, Applicant will
	endeavor to supply copies at the Examiner's
	request.
∑ There	e are no listed references which are not in the
English languag	e.

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The relevance of those listed renot in the English language is as follows:	
Attached are copies of search recorresponding patent application(s), which attached Submission Under MPEP 609 D. Copies of the references listed report(s) are included except for those properties.	on the search
Attached are the following non-patent applications which may be deemed relisted on the attached Submission Under ME	elevant, which are
Please charge any deficiency or cred: Deposit Account No. 10-0750/HRT-287/BST. submitted in triplicate.	
Brian S. To Reg. No. 41	mko ,349 r Applicants
Johnson & Johnson One Johnson & Johnson Plaza New Brunswick, NJ 08933-7003 (732) 524-1239 DATED: May 15, 2003	

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Docket No. HRT0287

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : John H. Stevens

: 10/047,481 Serial No.

Art Unit: 3738

Filed

: October 23, 2001

Examiner: D. J. Isabella

For

: Endovascular Aortic Valve Replacement

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(Date of Deposit)

Brian S. Tomko (Name of applicant, assignee, or Registered Representative)

(Signature)

May 15, 2003

(Date of Signature)

Commissioner for Patents Washington, D.C. 20231

STATEMENT UNDER 37 C.F.R. §1.97(e)

In accordance with 37 C.F.R. §1.97(e), certification is hereby made that:

Each item of information contained in the information \boxtimes disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement; or

	No item of information contained in the Information
Disclosure	Statement was cited in a communication from a foreign
patent off:	ice in a counterpart foreign application, and, to the
knowledge d	of the undersigned after making reasonable inquiry, no item

of information contained in this Information Disclosure Statement, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Brian S. Tomko
Reg. No. 41,349
Attorney for Applicants

Johnson & Johnson One Johnson & Johnson Plaza New Brunswick, NJ 08933-7003 (732) 524-1239 DATED: May 15, 2003 MAY 1 9 2010 HOLE TO THE MAY 1 9 2010 HOLE TO

PTO/SB/08A (08-00)
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)
Sheet 1 of 1

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Application Number	10/047,581
Filing Date	October 23, 2001
First Named Inventor	John H. Stevens
Group Art Unit	3738
Examiner Name	D. J. Isabella
Attorney Docket Number	HRT-287

		U.S. Patent Document				
Examiner Initials	Cite No. ¹	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		4,033,331	А	Zir	07-05-1977	
		4,846,175	А	Frimberger	07-11-1989	
		5,195,942	Α	Weil	03-23-1993	
		5,226,427	А	Buckberg	07-13-1993	
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ILS PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS Pages, Columns, Lines, Foreign Patent Document Date of Publication of Cited Document where relevant Name of Patentee or passages or relevant Examiner Cite mm-dd-yyyy Applicant of Cited Document figures appear Initials Office³ Number⁴ KindCode⁵ No.1 93 01857 Paskar 02-04-1993

Examiner Date Considered			
Signature Considered	Examiner	Date	
	Signature	Considered	

1 Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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a collection of information unless it displays a	TABLE CIVID CONDUCT HEIRIDGE.
Application Number	10/047,581
Filing Date	10/23/01
First Named Inventor	John H. Stevens
Group Art Unit	3738
Examiner Name	D. Isabella
Attorney Docket Number	HRT0287
	1

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner's Initials*	Cite No.1	Include name of the author (in CAPITOL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²
		European Search Report EP 02001320 dated 03-13-2003 corresponding to related US Serial No. 08/123411 (now abandoned)	
		PFC5.	
		MAY O	
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